

Area of Catalan paths on a checkerboard

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Abstract

It is known that the area of all Catalan paths of length n is equal to $4^n - \binom{2n+1}{n}$, which coincides with the number of inversions of all 321-avoiding permutations of length $n+1$. In this paper, a bijection between the two sets is established. Meanwhile, a number of interesting bijective results that pave the way to the required bijection are presented.

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1. Introduction

Among many other combinatorial structures, the n th Catalan number $c_n = \frac{1}{n+1} \binom{2n}{n}$ enumerates the number of lattice paths, called *Catalan paths of length n* , in the plane $\mathbb{Z} \times \mathbb{Z}$ from $(0, 0)$ to (n, n) using north steps $(0, 1)$ and east steps $(1, 0)$ that never pass below the line $y = x$. Let \mathcal{C}_n denote the set of Catalan paths of length n . A Catalan path is said to be *elevated* if it remains strictly above the line $y = x$ except at the start and end points. The *area* of a Catalan path is defined to be the number of triangles of the region enclosed by the path and the line $y = x$. For example, the area of the path shown in Fig. 1 is 13. In [8], Merlini et al. derived that the area a_n of all Catalan paths of length n is $a_n = 4^n - \binom{2n+1}{n}$, which is also equal to $\sum_{k=1}^n 4^{n-k} c_k$ as shown in [15]. Shapiro et al. proved that the area of all elevated Catalan paths of length n is 4^{n-1} [11]. There is other literature concerning the area and moments of Catalan paths (e.g., [3,6,9]).

A permutation $\sigma = \sigma_1 \cdots \sigma_n$ of $\{1, \dots, n\}$, where $\sigma_i = \sigma(i)$, is called a *321-avoiding permutation of length n* if there are no integers $i < j < k$ such that $\sigma_i > \sigma_j > \sigma_k$ (i.e.,

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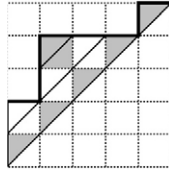


Fig. 1. A Catalan path of length 5.

every decreasing subsequence is of length at most two). Let $S_n(321)$ denote the set of 321-avoiding permutations of length n . A pair (σ_i, σ_j) is called an *inversion* of σ if $i < j$ and $\sigma_i > \sigma_j$. What catches our attention is that, as reported by Deutsch in [13, A008549], the number sequence $\{a_n\}_{n \geq 0} = \{0, 1, 6, 29, 130, 562, \dots\}$ counts the number of inversions of all 321-avoiding permutations of length $n + 1$. The main purpose of this paper is to establish a bijection Π_n between the set of triangles under all Catalan paths of length n and the set of inversions of all 321-avoiding permutations of length $n + 1$. The bijection is composed of two major stages (see Theorems 1.1 and 1.2).

To resolve this problem, we color the unit squares in the plane $\mathbb{Z} \times \mathbb{Z}$ in black and white like a checkerboard. A unit square B is colored black if the upper left corner (i, j) of B satisfies the condition that $i + j$ is odd, and white otherwise. For example, there are 1 black square and 3 white squares under the path shown in Fig. 1. An intriguing observation is that the number of white squares under all Catalan paths of length $n + 1$ is also equal to a_n (see Theorem 2.1). As the first stage of Π_n , the following bijection is one of the major results in this paper.

Theorem 1.1. *There is a bijection between the set of triangles under all Catalan paths of length n and the set of white squares under all Catalan paths of length $n + 1$.*

For the second stage of Π_n , we employ a variant of parallelogram polyominoes to establish the following bijection $\Psi_n : C_n \rightarrow S_n(321)$, which is different from the one given by Billy et al. [2, p. 361].

Theorem 1.2. *There is a bijection Ψ_n between the set C_n of Catalan paths of length n and the set $S_n(321)$ of 321-avoiding permutations of length n such that there is a one-to-one correspondence between the white squares under a path $\pi \in C_n$ and the inversions of $\Psi_n(\pi) \in S_n(321)$.*

We organize this paper as follows. Regarding the plane as a checkerboard, we enumerate the black and white squares under Catalan paths in Section 2. The proofs of Theorems 1.1 and 1.2 are given in Sections 3 and 4, respectively. Finally, some enumerative results for variants of parallelogram polyominoes are given in Section 5.

2. Area of Catalan paths on a checkerboard

In this section, we shall enumerate the black and white squares under all Catalan paths of length n by the method of generating functions. The generating function $C = C(z) = \sum_{n \geq 0} c_n z^n$ for Catalan numbers $\{c_n\}_{n \geq 0}$ satisfies the equation $C = 1 + zC^2$. Another useful fact is $[z^n]C^t = \frac{t}{2n+t} \binom{2n+t}{n}$, which is known as the *ballot number* [4, p. 21]. Let **N** and **E** denote a north step and an east step, respectively. A *block* of a Catalan path is a section of the form $\mathbf{N}\mu\mathbf{E}$, where **N** is a north step leaving the line $y = x$, **E** is the first east step returning to the line

$y = x$ afterward, and μ is a Catalan path of certain length (possibly empty). A *peak* (resp. *valley*) of a path is formed by a consecutive NE (resp. EN) pair.

Theorem 2.1. For $n \geq 2$, the following results hold.

- (i) The number of white squares under all Catalan paths of length n is $4^{n-1} - \binom{2n-1}{n-1}$.
- (ii) The number of black squares under all Catalan paths of length n is $4^{n-1} - \binom{2n}{n-1}$.
- (iii) The number of white squares under all elevated Catalan paths of length n is 4^{n-2} .

Proof. Let $f_{n,k}$ (resp. $g_{n,k}$) denote the number of paths $\pi \in \mathcal{C}_n$ with k white squares (resp. black squares) under π . Define the generating functions $F(t, z) = \sum_{n,k \geq 0} f_{n,k} t^k z^n$, and $G(t, z) = \sum_{n,k \geq 0} g_{n,k} t^k z^n$. Taking the partial derivative with respect to t and then setting $t = 1$, we have $\left(\frac{\partial F(t,z)}{\partial t}\right)_{t=1} = \sum_{n \geq 0} (\sum_{k \geq 0} k f_{n,k}) z^n$ and $\left(\frac{\partial G(t,z)}{\partial t}\right)_{t=1} = \sum_{n \geq 0} (\sum_{k \geq 0} k g_{n,k}) z^n$, which are the generating functions for the numbers in (i) and (ii), respectively.

A non-trivial path $\pi \in \mathcal{C}_n$ has a factorization $\pi = N\mu E\nu$, where E is the first east step that returns to the line $y = x$, and μ and ν are Catalan paths of certain lengths (possibly empty). Since, in the elevated path $N\mu E$, the black squares under μ become white and vice versa, we observe that the number of white squares under the first block $N\mu E$ of π is equal to the sum of the number of black squares under μ and the length of μ . Moreover, the number of black squares under the first block $N\mu E$ of π is equal to the number of white squares under μ . Hence $F(t, z)$ and $G(t, z)$ satisfy the following equations:

$$\begin{cases} F(t, z) = 1 + zG(t, tz)F(t, z), \\ G(t, z) = 1 + zF(t, z)G(t, z). \end{cases} \tag{1}$$

Let $F' = \left(\frac{\partial F(t,z)}{\partial t}\right)_{t=1}$ and $G' = \left(\frac{\partial G(t,z)}{\partial t}\right)_{t=1}$. Taking the partial derivative with respect to t , setting $t = 1$, and taking into account that $F(1, z) = G(1, z) = C(z)$, we have

$$\begin{cases} F' = z((G' + C'z)C + F'C), \\ G' = z(F'C + G'C). \end{cases} \tag{2}$$

Since $C = 1 + zC^2$, $1 - zC = \frac{1}{C}$ and $C' = C^2 + 2zCC'$. Solving (2) with $C = \frac{1 - \sqrt{1-4z}}{2z}$, we have

$$F' = \frac{z^2 C'}{1 - 2zC} = \frac{1 - 2z - \sqrt{1-4z}}{2(1-4z)}, \quad \text{and} \quad G' = F' - z^2 CC' = F' - \frac{z}{2}(C' - C^2).$$

It follows that

$$[z^n]F' = \frac{1}{2}[z^n] \frac{1}{1-4z} - [z^{n-1}] \frac{1}{1-4z} - \frac{1}{2}[z^n] \frac{1}{\sqrt{1-4z}} = 4^{n-1} - \binom{2n-1}{n-1},$$

and

$$[z^n]G' = [z^n]F' - \frac{1}{2}[z^{n-1}]C' + \frac{1}{2}[z^{n-1}]C^2 = 4^{n-1} - \binom{2n}{n-1}.$$

Hence (i) and (ii) follow.

Let $h_{n,k}$ denote the number of elevated Catalan paths τ of length n with k white squares under τ , and let $H(t, z) = \sum_{n,k \geq 0} h_{n,k} t^k z^n$. We observe that $H(t, z)$ satisfies the equation $H(t, z) =$

$zG(t, tz)$. Let $H' = \left(\frac{\partial H(t, z)}{\partial t}\right)_{t=1}$. By the same method as above, we have $H' = z(G' + C'z)$. Hence $[z^n]H' = [z^{n-1}]G' + [z^{n-2}]C' = 4^{n-2}$, and (iii) follows. \square

Similarly, the area of a Catalan path is partitioned into regions of the four types: white up-triangles, white down-triangles, black up-triangles, and black down-triangles. For example, the area of the path in Fig. 1 consists of 3 white up-triangles, 3 white down-triangles, 6 black up-triangles, and 1 black down-triangle. The following corollary is an immediate consequence of Theorem 2.1.

Corollary 2.2. *Among the area of all Catalan paths of length n , there are*

- (i) $4^{n-1} - \binom{2n-1}{n-1}$ white up-triangles,
- (ii) $4^{n-1} - \binom{2n-1}{n-1}$ white down-triangles,
- (iii) 4^{n-1} black up-triangles, and
- (iv) $4^{n-1} - \binom{2n}{n-1}$ black down-triangles.

Proof. It is clear that (i) and (ii) are equivalent to Theorem 2.1(i), and that (iv) is equivalent to Theorem 2.1(ii). Note that the number of black up-triangles under a path $\pi \in \mathcal{C}_n$ is equal to the number of white squares under the elevated path $N\pi E \in \mathcal{C}_{n+1}$. Hence (iii) follows from Theorem 2.1(iii). \square

Remarks. In [1, p. 6], Barucci et al. derived that the generating function for the number of inversions of all 321-avoiding permutations of length n is $\frac{1-2z-\sqrt{1-4z}}{2(1-4z)}$. Corollary 2.2(iii) has appeared in [15, Theorem A], which is obtained by making use of an enumerative result on parallelogram polyominoes in [11].

3. Proof of Theorem 1.1

Let \mathcal{T}_n denote the set of ordered pairs (A, π) , where $\pi \in \mathcal{C}_n$ and A is a triangle under π , and let \mathcal{W}_{n+1} denote the set of ordered pairs (B, τ) , where $\tau \in \mathcal{C}_{n+1}$ and B is a white square under τ . In this section, we shall establish a bijection $\Phi_n : \mathcal{T}_n \rightarrow \mathcal{W}_{n+1}$. Let \mathcal{T}_n be partitioned into the following four subsets:

- $T_1(n) = \{(A, \pi) \in \mathcal{T}_n \mid A \text{ is a black up-triangle under } \pi\}$,
- $T_2(n) = \{(A, \pi) \in \mathcal{T}_n \mid A \text{ is a white up-triangle under } \pi\}$,
- $T_3(n) = \{(A, \pi) \in \mathcal{T}_n \mid A \text{ is a white down-triangle under } \pi\}$,
- $T_4(n) = \{(A, \pi) \in \mathcal{T}_n \mid A \text{ is a black down-triangle under } \pi\}$.

For any $(A, \pi) \in T_1(n) \cup T_2(n)$ (i.e., A is an up-triangle), A is said to be at position (i, j) if the upper left corner of A is (i, j) , and A is said to be on the line $L : x + y = i + j$. For each up-triangle A , the *top triangle* of A is the up-triangle \widehat{A} to the northwest of A at the intersection of π and L .

On the other hand, for any $(B, \tau) \in \mathcal{W}_{n+1}$, B is said to be at position (i, j) if the upper left corner of B is (i, j) , and B is said to be on the line $L : x + y = i + j$ (note that $i + j$ is even). For each white square B , the *top box* of B is the white square \widehat{B} to the northwest of B at the intersection of τ and L . Moreover, we say that \widehat{B} is *falling* if the top edge of \widehat{B} coincides with an east step of τ , and *rising* otherwise. For any $(B, \tau) \in \mathcal{W}_{n+1}$, B is called a *downhill square* (resp.

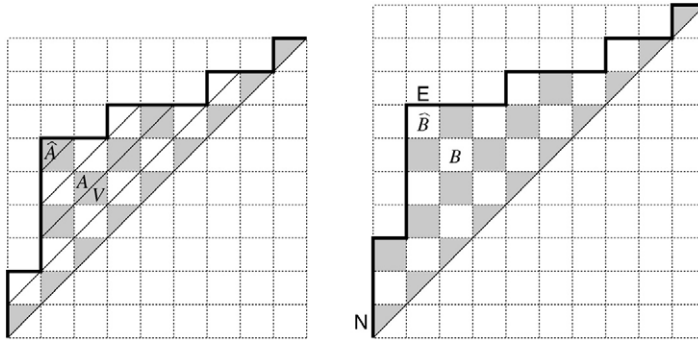


Fig. 2. A pair $(A, \pi) \in T_1(9)$ and the corresponding pair $\Phi_{0,1}((A, \pi)) = (B, \tau) \in W_1(10)$.

uphill square) of τ if the top box of B is falling (resp. rising). Let \mathcal{W}_{n+1} be partitioned into the following four subsets:

- $W_1(n + 1) = \{(B, \tau) \in \mathcal{W}_{n+1} \mid B \text{ is a downhill square in the first block of } \tau\}$,
- $W_2(n + 1) = \{(B, \tau) \in \mathcal{W}_{n+1} \mid \text{the first block } \beta \text{ of } \tau \text{ is of length 1, i.e., } \beta = \text{NE}\}$,
- $W_3(n + 1) = \{(B, \tau) \in \mathcal{W}_{n+1} \mid B \text{ is an uphill square in the first block of } \tau\}$,
- $W_4(n + 1) = \{(B, \tau) \in \mathcal{W}_{n+1} \mid \text{the first block } \beta \text{ of } \tau \text{ is of length } > 1, \text{ and } B \text{ is not in } \beta\}$.

For each i ($1 \leq i \leq 4$), we shall establish a bijection $\Phi_{n,i} : T_i(n) \rightarrow W_i(n + 1)$ (see Propositions 3.1–3.4). Then Φ_n is established by the refinement $\Phi_n|_{T_i(n)} = \Phi_{n,i}$, for $1 \leq i \leq 4$, and hence Theorem 1.1 is proved.

Proposition 3.1. *There is a bijection $\Phi_{n,1}$ between $T_1(n)$ and $W_1(n + 1)$.*

Proof. Given a pair $(A, \pi) \in T_1(n)$, say A is at (i, j) , we have $i + j = 2h - 1$, for some h ($h \geq 1$). Let \widehat{A} be the top triangle of A . We factorize π as $\pi = \mu\nu$, where μ goes from the origin to the upper left corner of \widehat{A} , and ν is the remaining part of π . Define a mapping $\Phi_{n,1}$ that carries (A, π) into $\Phi_{n,1}((A, \pi)) = (B, \tau)$, where $\tau = \text{N}\mu\text{E}\nu \in \mathcal{C}_{n+1}$ (i.e., with a north step N attached to the beginning and an east step E inserted between μ and ν) and B is the white square at $(i, j + 1)$. Note that the top box \widehat{B} of B is at the end point of μ , and that E is the top edge of \widehat{B} . Hence \widehat{B} is a falling box and B is downhill. Hence $\Phi_{n,1}((A, \pi)) \in W_1(n + 1)$.

To find $\Phi_{n,1}^{-1}$, given a pair $(B, \tau) \in W_1(n + 1)$, say B is at (i, j) , we have $i + j = 2h'$, for some h' . Since B is a downhill square, the top box \widehat{B} of B is a falling box. We factorize τ as $\tau = \text{N}\mu\text{E}\nu$, where N is the first step of τ , E is the top edge of \widehat{B} , μ is the section between N and E , and ν is the remaining part of τ . Since B is in the first block of τ , μ remains above the line $y = x + 1$ and hence $\mu\nu \in \mathcal{C}_n$. Hence $\Phi_{n,1}^{-1}((B, \tau)) = (A, \pi) \in T_1(n)$, where $\pi = \mu\nu$ and A is the black up-triangle at $(i, j - 1)$. \square

For example, on the left of Fig. 2 is a pair $(A, \pi) \in T_1(9)$, where A is at $(2, 5)$. The top triangle \widehat{A} of A in π is at $(1, 6)$. Note that A is the second up-triangle on the line $x + y = 7$ from \widehat{A} . The corresponding pair $\Phi_{9,1}((A, \pi)) = (B, \tau) \in W_1(10)$ is shown on the right of Fig. 2, where B is at $(2, 6)$ and \widehat{B} is at $(1, 7)$. Note that B is the second square on the line $x + y = 8$ from \widehat{B} .

Proposition 3.2. *There is a bijection $\Phi_{n,2}$ between $T_2(n)$ and $W_2(n + 1)$.*

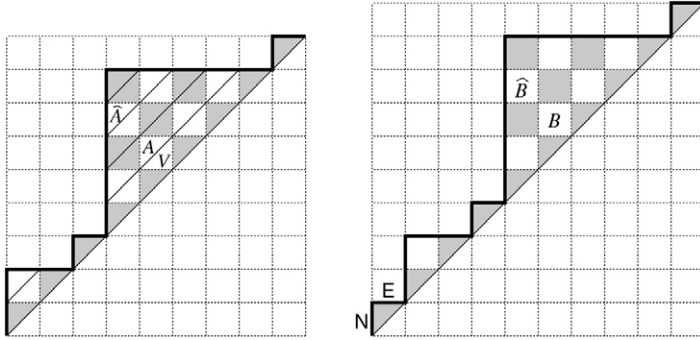


Fig. 3. A pair $(A, \pi) \in T_2(9)$ and the corresponding pair $\Phi_{9,2}((A, \pi)) = (B, \tau) \in W_2(10)$.

Proof. Given a pair $(A, \pi) \in T_2(n)$, say A is at (i, j) , we have $i + j = 2h$, for some h ($h \geq 1$). Define a mapping $\Phi_{n,2} : T_2(n) \rightarrow W_2(n + 1)$ that carries (A, π) into $\Phi_{n,2}((A, \pi)) = (B, \tau) \in W_2(n + 1)$, where $\tau = \text{NE}\pi \in C_{n+1}$ and B is the white square at $(i + 1, j + 1)$. It is easy to find $\Phi_{n,2}^{-1}$ by a reverse process. \square

For example, on the left of Fig. 3 is a pair $(A, \pi) \in T_2(9)$, where A is at $(4, 6)$. The corresponding pair $\Phi_{9,2}((A, \pi)) = (B, \tau) \in W_2(10)$ is shown on the right of Fig. 3, where B is at $(5, 7)$.

Proposition 3.3. *There is a bijection $\Phi_{n,3}$ between $T_3(n)$ and $W_3(n + 1)$.*

Proof. Given a pair $(V, \pi) \in T_3(n)$, say the lower right corner of V is (i, j) , we have $i + j = 2h$, for some h ($h \geq 1$). Let A be the white up-triangle at $(i - 1, j + 1)$. Clearly, $(A, \pi) \in T_2(n)$. We shall use the mapping $\Phi_{n,2}$ given in Proposition 3.2 as an intermediate stage for establishing $\Phi_{n,3}$.

Let $\Phi_{n,2}((A, \pi)) = (B, \tau) \in W_2(n + 1)$. Then B is at $(i, j + 2)$. Let \widehat{B} be the top box of B in τ , and let B be the k th square on the line $L : x + y = i + j + 2$ from \widehat{B} , for some k . We factorize τ as $\tau = \text{NE}\mu\beta\nu$, where NE is the first block of τ , β is the block containing B , μ is the section between the first block and β , and ν is the remaining part of τ . Moreover, β is further factorized as $\beta = \alpha\gamma$, where α goes from the beginning of β to the upper left corner of \widehat{B} , and γ is the remaining part of β . Let p_α denote the end point of α . Define a mapping $\Phi_{n,3}$ that carries (V, π) into $\Phi_{n,3}((V, \pi)) = (C, \omega)$, where $\omega = \alpha\text{N}\mu\text{E}\gamma\nu$, \widehat{C} is the top box at p_α in ω , and C is the k th square from \widehat{C} . Since α is followed by a north step, \widehat{C} is a rising box and C is uphill. Moreover, C is in the first block $\alpha\text{N}\mu\text{E}\gamma$ of ω . Hence $\Phi_{n,3}((V, \pi)) \in W_3(n + 1)$.

To find $\Phi_{n,3}^{-1}$, given a pair $(C, \omega) \in W_3(n + 1)$, say C is at (i, j) , we have $i + j = 2h'$, for some h' . Let \widehat{C} be the top box of C in ω , say \widehat{C} is at (i', j') , and let C be the k' th square on the line $x + y = 2h'$ from \widehat{C} . First, we factorize ω as $\omega = \beta\nu$, where β is the first block of ω , and ν is the remaining part of ω . Since C is an uphill square in β , \widehat{C} is a rising box and β has a factorization $\beta = \alpha\text{N}\mu\text{E}\gamma$, where α goes from the origin to the upper left corner of \widehat{C} , E is the first step after \widehat{C} that returns to the line $y = x + j' - i'$, and γ is the remaining part of β . Let p_α denote the end point of α . Locate the pair (B, τ) , where $\tau = \text{NE}\mu\alpha\gamma\nu$, \widehat{B} is the top box at p_α in τ , and B is the k' th square from \widehat{B} . Since the first block of τ is of length 1, $(B, \tau) \in W_2(n + 1)$. Let $\Phi_{n,2}^{-1}((B, \tau)) = (A, \pi) \in T_2(n)$. Then we retrieve the required pair

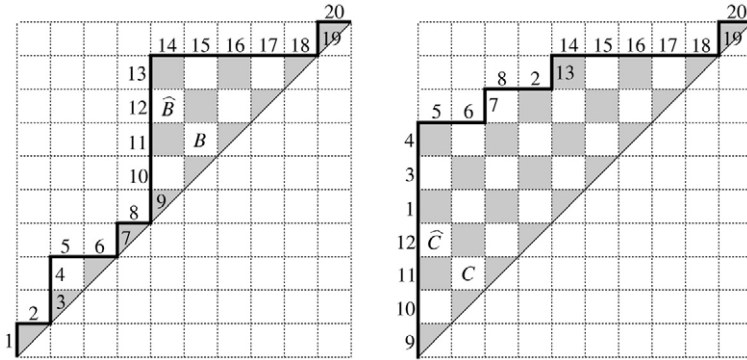


Fig. 4. The pairs $\Phi_{9,2}((A, \pi)) = (B, \tau) \in W_2(10)$ and $\Phi_{9,3}((V, \pi)) = (C, \omega) \in W_3(10)$ that are associated with the pairs $(A, \pi) \in T_2(9)$ and $(V, \pi) \in T_3(9)$ shown on the left of Fig. 3.

$\Phi_{n,3}^{-1}((C, \omega)) = (V, \pi) \in T_3(n)$ from (A, π) , where V is the white down-triangle that shares an edge with A . \square

For example, given the pair $(V, \pi) \in T_3(9)$ shown on the left of Fig. 3, where the lower right corner of V is $(5, 5)$, let A be the white up-triangle at $(4, 6)$. The intermediate pair $\Phi_{9,2}((A, \pi)) = (B, \tau)$ is shown on the left of Fig. 4. Factorize τ as $\tau = \text{NE}\mu\beta\nu$, where $\text{N} = 1$, $\text{E} = 2$, $\mu = (3, \dots, 8)$, $\beta = (9, \dots, 18)$, and $\nu = (19, 20)$. Moreover, β is further factorized as $\beta = \alpha\gamma$, where $\alpha = (9, 10, 11, 12)$ and $\gamma = (13, \dots, 18)$. The corresponding pair $\Phi_{9,3}((V, \pi)) = (C, \omega) \in W_3(10)$ is shown on the right of Fig. 4, where $\omega = \alpha\text{N}\mu\text{E}\gamma\nu$, and C is at $(1, 3)$.

Proposition 3.4. *There is a bijection $\Phi_{n,4}$ between $T_4(n)$ and $W_4(n + 1)$.*

Proof. Given a pair $(V, \pi) \in T_4(n)$, say the lower right corner of V is (i, j) , we have $i + j = 2h + 1$, for some h ($h \geq 1$). Let A be the up-triangle at $(i - 1, j + 1)$. Clearly, $(A, \pi) \in T_1(n)$. We shall use the mapping $\Phi_{n,1}$ given in Proposition 3.1 as an intermediate stage for establishing $\Phi_{n,4}$. Let $\Phi_{n,1}((A, \pi)) = (B, \tau) \in W_1(n + 1)$. Then B is at $(i - 1, j + 2)$. Let \widehat{B} be the top box of B in τ , and let B be the k th square on the line $L : x + y = i + j + 1$ from \widehat{B} , for some k . Since B is at $(i - 1, j + 2)$ and $j > i$, B is above the line $y = x + 2$. First, we factorize τ as $\tau = \beta\nu$, where β is the first block of τ and ν is the remaining part of τ . Next, β is further factorized as $\beta = \text{NN}\mu_1\mu_2$, where μ_1 goes from $(0, 2)$ to the first step after \widehat{B} that returns to the line $L_2 : y = x + 2$, and μ_2 is the remaining part of β . Form a new path $\beta' = \text{NN}\mu_2\mu_1$ from β by switching μ_1 and μ_2 . Note that $\text{NN}\mu_2$ is the first block of β' , and that B is in μ_1 . Moreover, the section μ_1 of β' might have a valley on the line $L_1 : y = x - 1$ (in front of \widehat{B}). There are two cases.

Case I. μ_1 has no valley on the line L_1 . We define a mapping $\Phi_{n,4}$ that carries (V, π) into $\Phi_{n,4}((V, \pi)) = (C, \omega)$, where $\omega = \beta'\nu = \text{NN}\mu_2\mu_1\nu$, and C is the white square B in μ_1 . Since the first block $\text{NN}\mu_2$ is of length at least 2, $\Phi_{n,4}((V, \pi)) \in W_4(n + 1)$. It is worth mentioning that C is a downhill square since B is downhill in μ_1 .

Case II. μ_1 has at least one valley on the line L_1 . Then we factorize μ_1 as $\mu_1 = \lambda\text{EN}\alpha\gamma$, where EN is the last valley on the line L_1 , α goes from the end point of N to the upper left corner of \widehat{B} , and γ is the remaining part of μ_1 . Let p_α be the end point of α . The mapping $\Phi_{n,4}$ is then defined by carrying (V, π) into $\Phi_{n,4}((V, \pi)) = (C, \omega)$, where $\omega = \text{NN}\mu_2\alpha\text{N}\lambda\text{E}\gamma\nu$, \widehat{C} is the top box at

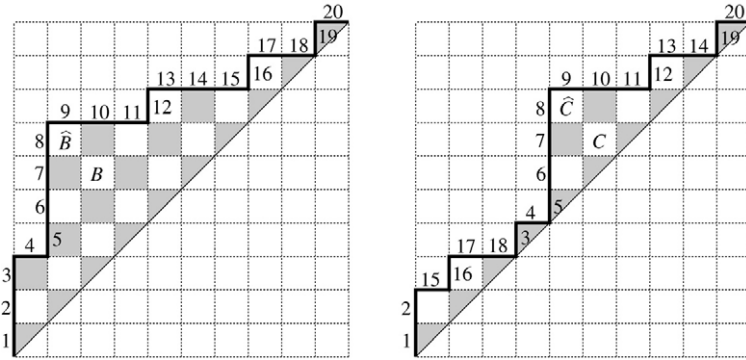


Fig. 5. The pairs $\Phi_{9,1}((A, \pi)) = (B, \tau) \in W_1(10)$ and $\Phi_{9,4}((V, \pi)) = (C, \omega) \in W_4(10)$ that are associated with the pairs $(A, \pi) \in T_1(9)$ and $(V, \pi) \in T_4(9)$ shown on the left of Fig. 2.

p_α in ω , and C is the k th square from \widehat{C} . Since the first block $\text{NN}\mu_2$ of ω is of length at least 2 and since C is not in the first block, $\Phi_{n,4}((V, \pi)) \in W_4(n + 1)$. Note that, since α is followed by a north step, \widehat{C} is a rising box and C is uphill.

To find $\Phi_{n,4}^{-1}$, given a pair $(C, \omega) \in W_4(n + 1)$, say C is at (i, j) , for some $i \geq 2, j \geq 4$, first, we factorize ω as $\omega = \text{NN}\mu_2\beta\nu$, where $\text{NN}\mu_2$ is the first block of ω , β is the section that ends with the block containing C , and ν is the remaining part of ω . There are two cases.

Case i. C is a downhill square. We locate the pair (B, τ) , where $\tau = \text{NN}\beta\mu_2\nu$, and B is the square C in β . We observe that B is a downhill square in the first block $\text{NN}\beta\mu_2$ of ω . Hence $(B, \tau) \in W_1(n + 1)$.

Case ii. C is an uphill square. The top box \widehat{C} of C in β is a rising box, say \widehat{C} is at (i', j') . Let C be the k' th square on the line $x + y = i + j$ from \widehat{C} . We further factorize β as $\beta = \alpha\mu_1\text{E}\gamma$, where α goes from the beginning of β to the upper left corner of \widehat{C} , E is the first east step that goes from the line $y = x + j' - i'$ to the line $y = x + j' - i' - 1$, and γ is the remaining part of β . Let p_α denote the end point of α . Since \widehat{C} is a rising box, μ_1 starts with a north step. Factorize μ_1 as $\mu_1 = \text{N}\lambda\text{E}$, and let $\mu'_1 = \lambda\text{E}\text{N}$. We locate the pair (B, τ) , where $\tau = \text{NN}\mu'_1\alpha\text{E}\gamma\mu_2\nu$, \widehat{B} is the top box at p_α in τ , and B is the k' th square from \widehat{B} . Since α is followed by an east step, \widehat{B} is a falling box and B is a downhill square in the first block $\text{NN}\mu'_1\alpha\text{E}\gamma\mu_2$ of τ . Hence $(B, \tau) \in W_1(n + 1)$.

For both cases, let $\Phi_{n,1}^{-1}((B, \tau)) = (A, \pi) \in T_1(n)$. Then we retrieve the required pair $\Phi_{n,4}^{-1}((C, \omega)) = (V, \pi) \in T_4(n)$ from (A, π) , where V is the black down-triangle that shares an edge with A . \square

For example, given the pair $(V, \pi) \in T_4(9)$ shown on the left of Fig. 2, where the lower right corner of V is $(3, 4)$, let A be the up-triangle at $(2, 5)$. The intermediate pair $\Phi_{9,1}((A, \pi)) = (B, \tau) \in W_1(10)$ is shown on the left of Fig. 5. First, factorize $\tau = \beta\nu$, where $\beta = (1, \dots, 18)$ and $\nu = (19, 20)$. Next, β is further factorized as $\beta = \text{N}_1\text{N}_2\mu_1\mu_2$, where $\text{N}_1 = 1, \text{N}_2 = 2, \mu_1 = (3, \dots, 14)$ and $\mu_2 = (15, 16, 17, 18)$. Let $\beta' = \text{N}_1\text{N}_2\mu_2\mu_1$. On the right of Fig. 5 is the path $\beta'\nu$. We observe that $\text{N}_1\text{N}_2\mu_2$ is the first block of β' , and that μ_1 has no valley on the line $L_1 : y = x - 1$. Hence we have the corresponding pair $\Phi_{9,4}((V, \pi)) = (C, \omega) \in W_4(10)$, where $\omega = \beta'\nu = \text{N}_1\text{N}_2\mu_2\mu_1\nu$ and C is at $(5, 7)$.

For the latter case, consider the pair $(V, \pi) \in T_4(11)$ shown on the left of Fig. 6, where the lower right corner of V is $(7, 8)$. Let A be the up-triangle at $(6, 9)$. The intermediate pair

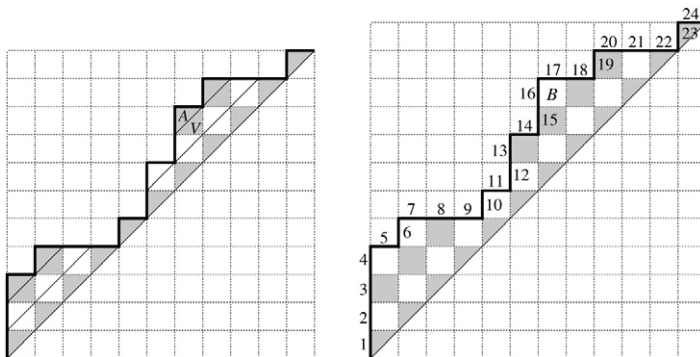


Fig. 6. A pair $(V, \pi) \in T_4(11)$ and the corresponding pair $\Phi_{11,1}((A, \pi)) = (B, \tau) \in W_1(12)$.

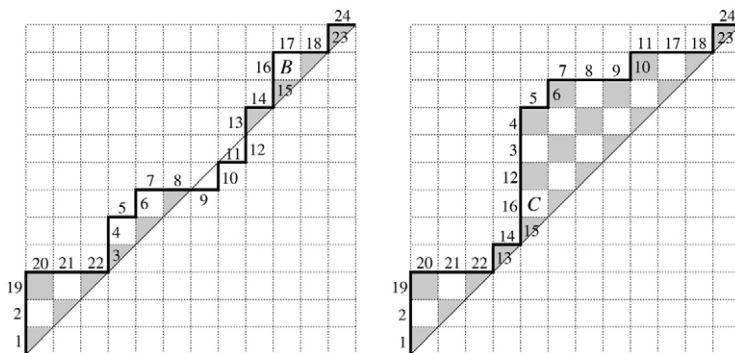


Fig. 7. The intermediate path $\beta'v$ and the corresponding pair $\Phi_{11,4}((V, \pi)) = (C, \omega) \in W_4(12)$.

$\Phi_{11,1}((A, \pi)) = (B, \tau) \in W_1(12)$ is shown on the right of Fig. 6. First, τ is factorized as $\tau = \beta v$, where $\beta = (1, \dots, 22)$ and $v = (23, 24)$. Next, β is factorized as $\beta = N_1 N_2 \mu_1 \mu_2$, where $\mu_1 = (3, \dots, 18)$ and $\mu_2 = (19, 20, 21, 22)$. Let $\beta' = N_1 N_2 \mu_2 \mu_1$. On the left of Fig. 7 is the path $\beta'v$. We observe that $N_1 N_2 \mu_2$ is the first block of β' , and that μ_1 has two valleys on the line $L_1 : y = x - 1$. Hence μ_1 is further factorized as $\mu_1 = \lambda E_3 N_3 \alpha \gamma$, where $E_3 = 11$ and $N_3 = 12$ form the last valley on the line L_1 of μ_1 , $\lambda = (3, \dots, 10)$, $\alpha = (13, 14, 15, 16)$, and $\gamma = (17, 18)$. With N_3 moved in front of λ , we have $N_3 \lambda E_3 = (12, 3, 4, \dots, 11)$. The corresponding pair $\Phi_{11,4}((V, \pi)) = (C, \omega) \in W_4(12)$ is shown on the right of Fig. 7, where $\omega = N_1 N_2 \mu_2 \alpha N_3 \lambda E_3 \gamma v$ and C is at $(4, 6)$.

4. Proof of Theorem 1.2

In this section, making use of a variant of parallelogram polyominoes, we shall prove Theorem 1.2 in two stages (see Propositions 4.1 and 4.3).

A *shortened polyomino* is formed by a pair (P, Q) of paths using north steps $(0, 1)$ and east steps $(1, 0)$ that start from the origin, end in a common point, and satisfy the following conditions

- (H1) P never goes below Q , and
- (H2) there are no north steps of P and Q overlapped.

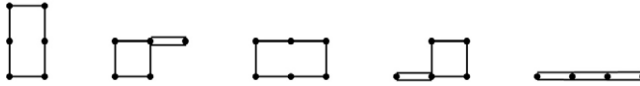


Fig. 8. The shortened polyominoes with perimeter 6.

The *perimeter* of a polyomino is twice the length of its paths, and its *area* is the number of unit squares enclosed. As another occurrence of Catalan numbers, it is known that the number of shortened polyominoes of perimeter $2n$ is c_n (see [7, Section 5]). The shortened polyominoes of perimeter 6 are shown in Fig. 8. Making use of an argument similar to the one in [15, Theorem A], we prove the following proposition. Here, the end point of a step is said to be at *level* h if it is on the line $y = x + h$, for some integer h .

Proposition 4.1. *There is a bijection Ω_n between the set \mathcal{C}_n of Catalan paths of length n and the set \mathcal{H}_n of shortened polyominoes of perimeter $2n$ such that there is a one-to-one correspondence between the white squares under a path $\omega \in \mathcal{C}_n$ and the squares in $\Omega_n(\omega) \in \mathcal{H}_n$.*

Proof. Given a path $\omega \in \mathcal{C}_n$, let P (resp. Q) be the path formed by the even steps (resp. odd steps) of ω , and let Q^* be the path obtained from Q by interchanging north steps and east steps. Define a mapping Ω_n by carrying ω into $\Omega_n(\omega) = (P, Q^*)$. Let $P = p_1 \cdots p_n$ and $Q^* = q_1 \cdots q_n$. Clearly, P and Q^* have the same number of north steps (as well as east steps), and P always remains above Q^* since the distance between the end points of p_i and q_i ($1 \leq i \leq n$) is one half of the level of the end point of p_i in ω . Moreover, whenever two steps in (P, Q^*) overlap, they are east steps since their corresponding steps in ω form a peak at level 1. Hence $\Omega_n(\omega) \in \mathcal{H}_n$. To find Ω_n^{-1} , one simply reverses the procedure.

We observe that each white square under ω is on the line $x + y = 2h$, for some h ($1 \leq h \leq n - 1$), and that the number of white squares under ω on the line $x + y = 2h$ is equal to the number of squares on the line $x + y = h$ in $\Omega_n(\omega)$. Hence there is a one-to-one correspondence between the set of white squares under ω and the set of squares in $\Omega_n(\omega)$ such that the k th square on the line $x + y = 2h$ from its top box under ω corresponds to the k th square on the line $x + y = h$ (from upper left to lower right) in $\Omega_n(\omega)$. \square

We remark that the actual distance between the end points of p_i and q_i in (P, Q^*) has a factor $\sqrt{2}$, but we omit it.

For example, given the pair $(C, \omega) \in \mathcal{W}_{10}$ shown on the right of Fig. 5, the shortened polyomino $\Omega_{10}(\omega) = (P, Q^*)$ is shown on the left of Fig. 9, where $P = \text{NNEENNENE}$ consists of the even steps of ω and $Q^* = \text{ENNEEENNNE}$ is obtained from the odd steps $Q = \text{NEENNNEEEN}$ of ω by interchanging north steps and east steps. The white square C under ω is carried into the square D in $\Omega_{10}(\omega)$.

Let us turn to the second half of the proof of Theorem 1.2. Let S_n be the set of permutations of $[n] := \{1, \dots, n\}$. We write $\sigma = \sigma_1 \cdots \sigma_n \in S_n$, where $\sigma_i = \sigma(i)$. For a $\sigma \in S_n$, an *excedance* (resp. *weak excedance*) of σ is an integer $i \in [n - 1]$ such that $\sigma_i > i$ (resp. $\sigma_i \geq i$). Here the element σ_i is called an *excedance letter* (resp. *weak excedance letter*). *Non-weak excedances* and *non-weak excedance letters* are defined in the obvious way, in terms of i and σ_i , such that $\sigma_i < i$. Let $E(\sigma)$ be the set of excedances of σ , and let $\text{inv}(\sigma)$ be the number of inversions of σ . The following characterization of 321-avoiding permutations was given by Simion [12, Lemma 5.6] (see also [10, Proposition 2.3]).

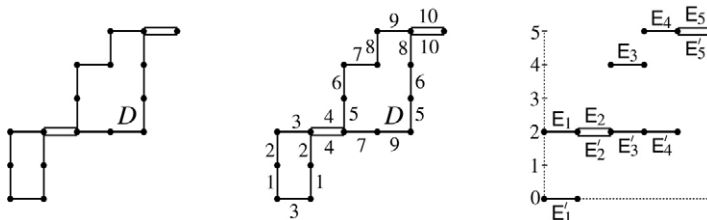


Fig. 9. The shortened polyomino $\Omega_{10}(\omega)$ associated with the path $\omega \in \mathcal{C}_{10}$ in Fig. 5, and its labeling.

Lemma 4.2. A permutation σ is 321-avoiding if and only if

$$\text{inv}(\sigma) = \sum_{k \in E(\sigma)} (\sigma_k - k).$$

Proposition 4.3. There is a bijection Υ_n between the set \mathcal{H}_n of shortened polyominoes of perimeter $2n$ and the set $S_n(321)$ of 321-avoiding permutations of length n such that there is a one-to-one correspondence between the squares in a polyomino $(P, Q) \in \mathcal{H}_n$ and the inversions of $\Upsilon_n((P, Q)) \in S_n(321)$.

Proof. Given a shortened polyomino $(P, Q) \in \mathcal{H}_n$, let $P = p_1 \cdots p_n$ and $Q = q_1 \cdots q_n$. Let the steps p_1, \dots, p_n of P be labeled from 1 to n . For each i ($1 \leq i \leq n$), we assign the i th step q_i of Q the label z_i of the opposite step across the polyomino. The mapping Υ_n is defined by carrying (P, Q) into $\Upsilon_n((P, Q)) = z_1 \cdots z_n$. Since the labels of the north steps (resp. east steps) of Q are increasing, every decreasing subsequence of $\Upsilon_n((P, Q))$ is of length at most two. Hence $\Upsilon_n((P, Q)) \in S_n(321)$.

To find Υ_n^{-1} , we shall retrieve a shortened polyomino $\Upsilon_n^{-1}(\sigma)$ for any $\sigma = \sigma_1 \cdots \sigma_n \in S_n(321)$. Let $\{j_1, \dots, j_t\}$ be the set of weak excedances of σ (i.e., $\sigma(j_i) \geq j_i$, for $1 \leq i \leq t$). For each i ($1 \leq i \leq t$), put an east step E_i at height $y = \sigma(j_i) - i$ as the top of the i th column of $\Upsilon_n^{-1}(\sigma)$. The upper path of $\Upsilon_n^{-1}(\sigma)$ goes from $(0, 0)$ to the end point of E_t containing E_1, \dots, E_t . On the other hand, for each i ($1 \leq i \leq t$), put an east step E'_i at height $y = j_i - i$ as the bottom of the i th column of $\Upsilon_n^{-1}(\sigma)$. The lower path of $\Upsilon_n^{-1}(\sigma)$ goes from $(0, 0)$ to the end point of E_t containing E'_1, \dots, E'_t . Since $\sigma(j_i) \geq j_i \geq i$ ($1 \leq i \leq t$), $\Upsilon_n^{-1}(\sigma) \in \mathcal{H}_n$ is well defined.

Note that there are $\sigma(j_i) - j_i$ squares in the i th column of $\Upsilon_n^{-1}(\sigma)$, and that, by Lemma 4.2, $\text{inv}(\sigma) = \sum_{i=1}^t (\sigma(j_i) - j_i)$. Hence the number of inversions of σ is equal to the number of squares in $\Upsilon_n^{-1}(\sigma)$. Moreover, the columns (resp. rows) of $\Upsilon_n^{-1}(\sigma)$ are labeled with weak excedance letters (resp. non-weak excedance letters) increasingly. Since each square D in $\Upsilon_n^{-1}(\sigma)$ is the intersection of the column with label σ_i and the row with label σ_j , for some excedance i and non-weak excedance j , there is one-to-one correspondence between the squares in $\Upsilon_n^{-1}(\sigma)$ and the inversions of σ such that D is carried into the inversion (σ_i, σ_j) . \square

For example, in Fig. 9, the labeling of the shortened polyomino (P, Q^*) on the left is shown in the center. The corresponding permutation $\sigma = \Upsilon_{10}((P, Q^*)) = 312479568a$ ($a = 10$) can be obtained from the labeling of the lower path Q^* . Note that the square D in (P, Q^*) is carried into the inversion $(\sigma_6, \sigma_7) = (9, 5)$ of $\Upsilon_{10}((P, Q^*))$. To show $\Upsilon_{10}^{-1}(\sigma)$, note that the weak excedances of σ are $\{1, 4, 5, 6, 10\}$, i.e., $\sigma_1 = 3, \sigma_4 = 4, \sigma_5 = 7, \sigma_6 = 9$, and $\sigma_{10} = 10$. The east steps on the upper path and lower path of $\Upsilon_{10}^{-1}(\sigma)$ are shown on the right of Fig. 9.

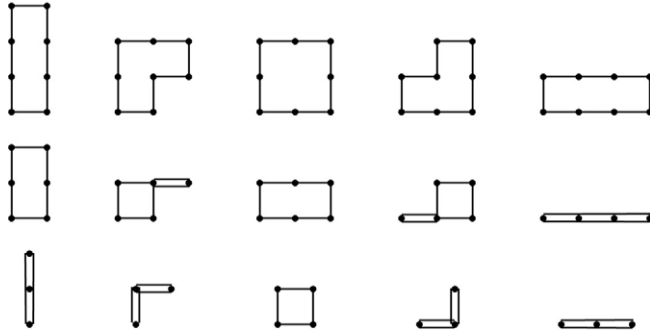


Fig. 10. The polyominoes of three kinds for the case $n = 3$.

By the composition $\Psi_n = \mathcal{T}_n \circ \Omega_n$, Theorem 1.2 is proved. Hence, by Theorems 1.1 and 1.2, we establish the required bijection between the area of all Catalan paths of length n and the inversions of all 321-avoiding permutations of length $n + 1$.

5. Some enumerative results for parallelogram polyominoes

In the previous section, we introduced a variant of parallelogram polyominoes, called shortened polyominoes. A *parallelogram polyomino* is a pair of non-intersecting paths that start from the origin and end in a common point. A *shrunk polyomino* is a pair of paths that starts from the origin and ends in a common point such that one path never goes below the other. In fact, a shortened polyomino of perimeter $2n$ can be obtained from a parallelogram polyomino (P, Q) of perimeter $2n + 2$ by deleting the initial (north) step of the upper path P and deleting the final (north) step of the lower path Q . Moreover, a shrunk polyomino of perimeter $2n - 2$ can be obtained from a shortened polyomino (P', Q') of perimeter $2n$ by further deleting the final (east) step of the upper path P' and deleting the first (east) step of the lower path Q' . Fig. 10 shows polyominoes of the three types for the case of $n = 3$. Refer also to [14, Exercise 6.19(l)(m)].

A bijection Ω'_n between Catalan paths of length n and parallelogram polyominoes of perimeter $2n + 2$ can be obtained from the bijection Ω_n in Proposition 4.1 as follows. Given a path $\omega \in \mathcal{C}_n$, let $(P, Q^*) = \Omega_n(\omega) \in \mathcal{H}_n$ be the corresponding shortened polyomino. The bijection Ω'_n is defined by $\Omega'_n(\omega) = (NP, Q^*N)$, which is obtained from $\Omega_n(\omega)$ with a north step attached to the beginning of the upper path and a north step attached to the end of the lower path. We remark that this bijection is different from the one given by Delest and Viennot in [5, Section 4] and the one given by Reifegerste in [10, Theorem 3.10]. The following proposition is also an immediate consequence of the bijection Ω_n .

Proposition 5.1. *There is a bijection Θ_n between the set \mathcal{C}_n of Catalan paths of length n and the set \mathcal{R}_n of shrunk polyominoes of perimeter $2n - 2$ such that there is a one-to-one correspondence between the black squares under a path $\pi \in \mathcal{C}_n$ and the squares in $\Theta_n(\pi) \in \mathcal{R}_n$.*

Proof. Given a path $\pi \in \mathcal{C}_n$, consider the shortened polyomino $\Omega_n(\pi) = (P, Q^*)$ under the mapping Ω_n in Proposition 4.1. Let $P = p_1 \cdots p_n$ and $Q^* = q_1 \cdots q_n$. There is an immediate bijection $\Theta_n : \mathcal{C}_n \rightarrow \mathcal{R}_n$ that carries π into $\Theta_n(\pi) = (P', Q^*) \in \mathcal{R}_n$, where $P' = p_1 \cdots p_{n-1}$ and $Q^* = q_2 \cdots q_n$. Moreover, the number of black squares under π on the line $x + y = 2h + 1$, ($1 \leq h \leq n - 2$) is equal to the distance between the end points of p_h and q_{h+1} in (P', Q^*) .

Hence there is a one-to-one correspondence between the black squares under π and the squares in $\Theta_n(\pi)$. \square

The following bijective result can be obtained by the same argument as in the proof of Proposition 4.1, which appeared implicitly in [15, Theorem A].

Proposition 5.2. *There is a bijection Λ_n between the set \mathcal{E}_n of elevated Catalan paths of length $n + 1$ and the set \mathcal{P}_n of parallelogram polyominoes of perimeter $2n + 2$ such that there is a one-to-one correspondence between the white squares under a path $\pi \in \mathcal{E}_n$ and the squares in $\Lambda_n(\pi) \in \mathcal{P}_n$.*

By Theorem 2.1 and Propositions 4.1, 5.1 and 5.2, we deduce the enumerative results on the areas of the various polyominoes.

Theorem 5.3. *For $n \geq 2$, the following results hold.*

- (i) *The area of all shortened polyominoes of perimeter $2n$ is $4^{n-1} - \binom{2n-1}{n-1}$.*
- (ii) *The area of all shrunk polyominoes of perimeter $2n - 2$ is $4^{n-1} - \binom{2n}{n-1}$.*
- (iii) *The area of all parallelogram polyominoes of perimeter $2n + 2$ is 4^{n-1} .*

A 2-Motzkin path of length n is a lattice path from $(0, 0)$ to $(n, 0)$ that never goes below the x -axis, using up steps $(1, 1)$, down steps $(1, -1)$, and level steps $(1, 0)$, where the level steps can be of either of two kinds: straight and wavy. The area of a 2-Motzkin path is defined to be the sum of the heights of the end points of all steps. By a simple substitution, there is a bijection between the set \mathcal{M}_n of 2-Motzkin paths of length n and the set \mathcal{R}_{n+1} of shrunk polyominoes of perimeter $2n$. Given a $\tau \in \mathcal{M}_n$, for each i ($1 \leq i \leq n$), we associate the i th step t_i of τ with a pair (p_i, q_i) of steps, where

$$(p_i, q_i) = \begin{cases} (\text{N}, \text{E}) & \text{if } t_i \text{ is an up step} \\ (\text{E}, \text{N}) & \text{if } t_i \text{ is a down step} \\ (\text{N}, \text{N}) & \text{if } t_i \text{ is a straight level step} \\ (\text{E}, \text{E}) & \text{if } t_i \text{ is a wavy level step.} \end{cases}$$

The corresponding shrunk polyomino of τ is the pair (P, Q) of paths, where $P = p_1 \cdots p_n$ and $Q = q_1 \cdots q_n$. It is straightforward to verify that the height of the end point of t_i in τ is equal to the distance between p_i and q_i in (P, Q) . By Theorem 5.3(ii), we have the following result.

Corollary 5.4. *The area of all 2-Motzkin paths of length n is $4^n - \binom{2n+2}{n}$.*

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