

Binomial Self-Inverse Sequences and Tangent Coefficients

ROBERT DONAGHEY

Department of Mathematics, Baruch College, CUNY, New York, New York 10010

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This paper treats the class of sequences $\{a_n\}$ that satisfy the recurrence relation $a_n = \sum_{k=0}^n (-1)^k \binom{n}{k} a_k d^{n-k}$, with d constant, and shows that there is a relationship between the odd and even terms of $\{a_n\}$ that involves the coefficients of $\tan(t)$, namely

$$a_{2n+1} = \sum_{k=0}^n (-1)^k \binom{2n+1}{2k+1} T_k(d/2)^{2k+1} a_{2n-2k}.$$

A combinatorial setting is then provided to elucidate the appearance of the tangent coefficients in this equation.

I. INTRODUCTION

Two sequences f_n and g_n are binomial inverses (cf. [4, p. 43]), if they satisfy the inverse relations

$$f_n = \sum_{k=0}^n (-1)^k \binom{n}{k} g_k, \quad g_n = \sum_{k=0}^n (-1)^k \binom{n}{k} f_k; \quad (1)$$

or equivalently,

$$f_n = (1 - g)^n, \quad g_n = (1 - f)^n, \quad f^n \equiv f_n, \quad \text{and} \quad g^n \equiv g_n. \quad (2)$$

The sequence f_n is then its own binomial inverse if it satisfies

$$f_n = \sum_{k=0}^n (-1)^k \binom{n}{k} f_k = (1 - f)^n, \quad f^n \equiv f_n. \quad (3)$$

It will be convenient to generalize this slightly and say that if $a_n = d^n f_n$ for some constant d , so that

$$a_n = \sum_{k=0}^n (-1)^k \binom{n}{k} a_k d^{n-k} = (d - a)^n, \quad a^n \equiv a_n, \quad (4)$$

then a_n is *self-inverse of degree d* .

With the sequence T_k defined by $e^{Tt} = \tan(t)$, $T^{2n+1} \equiv T_n$, Eq. (4), with $d = 2\delta$, implies the relations between even and odd terms:

$$a_{2n+1} = \sum_{k=0}^n (-1)^k \binom{2n+1}{2k+1} T_k \delta^{2k+1} a_{2n-2k}$$

and

$$a_{2n} = \frac{a_{2n+1}}{(2n+1)\delta} + \sum_{k=0}^{n-1} (-1)^k \binom{2n}{2k+1} \left[\frac{T_k \delta^{2k+1}}{2^{2k+2} - 1} \right] a_{2n-2k-1}.$$

It is shown that every self-inverse sequence of degree d satisfies the generating function relation

$$e^{at} = e^{dt} e^{-at}$$

and as a consequence a_n is self-inverse of degree $d = 2\delta$ if it satisfies the relation

$$a_n = \sum_{k=0}^n \binom{n}{2k} f_k \delta^{n-2k}$$

for any arbitrary sequence f_k .

The particular instance

$$e_n = \sum_{k=0}^n \binom{n}{2k},$$

the number of even-subsets of a set, which satisfies the weighted inclusion-exclusion identity

$$e_{2n+1} = \sum_{k=0}^{2n+1} (-1)^k \binom{2n+1}{2n-2k} T_k e_{2n-2k},$$

is used to determine the T_k 's combinatorially as the weightings required to insure that every even subset is counted exactly once in this summation.

The paper concludes with a number of examples of self-inverse sequences to give the subject some concreteness.

II. CHARACTERIZATION OF THE ODD TERMS

Expanding Eq. (4) and solving for the highest coefficient yields, for $n = 0(1)3$:

$$\begin{aligned} a_0 &= a_0 & \rightarrow & \text{no information,} \\ a_1 &= da_0 - a_1 & \rightarrow & 2a_1 = da_0, \\ a_2 &= d^2a_0 - 2da_1 + a_2 & \rightarrow & \text{no new information,} \\ a_3 &= d^3a_0 - 3d^2a_1 + 3da_2 - a_3 & \rightarrow & 4a_3 = -d^3a_0 + 6da_2. \end{aligned}$$

In general Eq. (4), the defining identity for self-inverse sequences, imposes no constraints on the even terms but completely specifies the odd terms. Setting $d = 2\delta$, these specifying equations can be written, for $n = 1(2)7$, as:

$$\begin{aligned}
 a_1 &= 1 \binom{1}{0} \delta a_0, \\
 a_3 &= -2 \binom{3}{0} \delta^3 a_0 + 1 \binom{3}{2} \delta a_2, \\
 a_5 &= 16 \binom{5}{0} \delta^5 a_0 - 2 \binom{5}{2} \delta^3 a_2 + 1 \binom{5}{4} \delta a_4, \\
 a_7 &= -272 \binom{7}{0} \delta^7 a_0 + 16 \binom{7}{2} \delta^5 a_2 - 2 \binom{7}{4} \delta^3 a_4 + 1 \binom{7}{6} \delta a_6.
 \end{aligned}$$

The numbers $T_0 = 1, T_1 = 2, T_2 = 16, T_3 = 272, \dots$ [5, Sequence 829] appearing in these equations are the coefficients of

$$\tan(t) = \sum_{n=0}^{\infty} T_n t^{2n+1} / (2n + 1)!. \tag{5}$$

This result is stated generally as

THEOREM 1 (MAIN THEOREM). *If a_n is self-inverse of degree $d = 2\delta$, then with T_k defined by Eq. (5),*

$$a_{2n+1} = \sum_{k=0}^n (-1)^k \binom{2n+1}{2k+1} T_k \delta^{2k+1} a_{2n-2k}. \tag{6}$$

Proof.

$$\begin{aligned}
 a^n &= (d - a)^n, & a^k &= a_k \\
 \Rightarrow e^{at} &= e^{(d-a)t}, \\
 \Rightarrow e^{(a-\delta)t} &= e^{(\delta-a)t}, & d &= 2\delta \\
 \Rightarrow e^{iat} e^{-i\delta t} &= e^{i\delta t} e^{-iat}, & i^2 &= -1 \\
 \Rightarrow \cos(\delta t) \sin(at) &= \sin(\delta t) \cos(dt), \\
 \Rightarrow \sin(at) &= \tan(\delta t) \cos(at).
 \end{aligned} \tag{7}$$

Equating the coefficients of t^{2n+1} in (7) gives Eq. (6).

COROLLARY.

$$\sum_{k=0}^n (-1)^k \binom{2n+1}{2k+1} T_k = 1. \tag{8}$$

Proof. Eq. (8) is Eq. (6) with $a_n = \delta = 1$; and for this sequence Eq. (4) reduces to $1 = (2 - 1)^n$. In addition, Eq. (7) reduces to $\sin(t) = \tan(t) \cos(t)$.

III. EXTENSIONS AND INVERSE OF THE MAIN THEOREM

Let b_n satisfy

$$b_n = - \sum_{k=0}^n (-1)^k \binom{n}{k} b_k d^{n-k} = -(d - b)^n, \quad b^k \equiv b_k. \quad (9)$$

Then b_n can be said to be anti-self-inverse since Eq. (9) differs from Eq. (4) only by a minus sign.

THEOREM 2. *If b_n is anti-self-inverse of degree $d = 2\delta$, then with T_k defined by Eq. (5),*

$$b_{2n+2} = \sum_{k=0}^n (-1)^k \binom{2n+2}{2k+1} T_k \delta^{2k+1} b_{2n+1-2k}. \quad (10)$$

Proof. Paralleling the proof of Theorem 1,

$$\begin{aligned} b^n &= -(d - b)^n, & b^k &\equiv b_k \\ \Rightarrow e^{ib} e^{-i\delta t} &= -e^{i\delta t} e^{-ib t}, & i^2 &= -1 \\ \Rightarrow -\cos(\delta t) \cos(bt) &= \sin(\delta t) \sin(bt), \\ \Rightarrow -\cos(bt) &= \tan(\delta t) \sin(bt). \end{aligned}$$

COROLLARY. *If b_n satisfies*

$$b_n = \sum_{k=0}^n (-1)^k \binom{n+r}{k+r} b_k d^{n-k}$$

for any positive integer r , then with $d = 2\delta$,

$$b_{2n+1} = \sum_{k=0}^n (-1)^k \binom{2n+1+r}{2k+1} T_k \delta^{2k+1} b_{2n-2k}.$$

Proof. Relabeling $b_n \rightarrow b_{n+r}$ and $0 \rightarrow b_i$, $i = 0(1)r - 1$, makes b_n self-inverse for r even and anti-self-inverse for r odd.

The following theorem is the inverse of Theorem 1, and defines the even terms of a_n as sums over the odd terms. It is included for completeness although it is perhaps too cumbersome to be of computational value.

THEOREM 3. *If a_n is self-inverse of degree $d = 2\delta$, then with T_k defined by Eq. (5),*

$$a_{2n} = \frac{a_{2n+1}}{(2n+1)\delta} + \sum_{k=0}^{n-1} (-1)^k \binom{2n}{2k+1} \left[\frac{T_k \delta^{2k+1}}{2^{2k+2} - 1} \right] a_{2n-2k-1}.$$

Proof. From Eq. (7), $\cos(at) = \cot(\delta t) \sin(at)$. The coefficients of $\cot(t)$ are expressed here in terms of the T_k 's rather than the more usual Bernoulli numbers.

IV. CHARACTERIZING SELF-INVERSE FUNCTIONS

THEOREM 4. (*Exponential generating function*): *The sequence a_n is self-inverse of degree d if and only if*

$$e^{at} = e^{\delta t} e^{-at}, \quad a^n \equiv a_n. \tag{11}$$

Proof.

$$\begin{aligned} a^n &= (d - a)^n, & a^k &\equiv a_k \\ \Leftrightarrow e^{at} &= e^{(d-a)t} \\ &= e^{\delta t} e^{-at}. \end{aligned}$$

COROLLARY. *Every generating function of the form $e^{at} = e^{\delta t} L(t^2)$, with $L(t)$ any formal Laurent series, is the generating function of a self-inverse sequence of degree $d = 2\delta$.*

Proof.

$$\begin{aligned} e^{at} &= e^{\delta t} L(t^2), \\ \Rightarrow e^{2\delta t} e^{-at} &= e^{2\delta t} e^{-\delta t} L((-t)^2) \\ &= e^{\delta t} L(t^2) = e^{at}, \end{aligned}$$

which is Eq. (11).

THEOREM 5. (*Construction*): *If f_n is any sequence of numbers, and δ any constant, then*

$$a_n = \sum_{k=0}^m \binom{n}{2k} f_k \delta^{n-2k}, \quad m = [n/2] \tag{12}$$

is self-inverse of degree $d = 2\delta$.

Proof. If

$$a_n = \sum_{k=0}^m \binom{n}{2k} f_k \delta^{n-2k}, \quad m = [n/2],$$

then

$$e^{at} = e^{\delta t} \cosh(ft), \quad f^{2k} \equiv f_k,$$

and the result follows by the Corollary to Theorem 4 above.

V. COMBINATORIAL SETTING OF T_k

Let a_n and f_n be any two sequences related by Eq. (12) with $\delta = 1$, i.e., let a_n and f_n satisfy

$$a_n = \sum_{k=0}^m \binom{n}{2k} f_k, \quad m = [n/2]. \quad (13)$$

Similarly define the particular instance

$$e_n = \sum_{k=0}^m \binom{n}{2k}, \quad f_k \equiv 1. \quad (14)$$

Then e_n counts the number of even cardinality subsets of an n element set.

Letting T_k be defined by Eq. (8), then,

$$\begin{aligned} e_{2n+1} &= \sum_{k=0}^n \binom{2n+1}{2k} \\ &= \sum_{k=0}^n \binom{2n+1}{2k} \left[\sum_{j=0}^{n-k} (-1)^j \binom{2n+1-2k}{2j+1} T_j \right] \\ &= \sum_{j=0}^n (-1)^j \binom{2n+1}{2n-2j} T_j \left[\sum_{k=0}^{n-j} \binom{2n-2j}{2k} \right] \\ &= \sum_{j=0}^n (-1)^j \binom{2n+1}{2n-2j} T_j e_{2n-2j}, \end{aligned} \quad (15)$$

which is an instance of Theorem 1 with $\delta = 1$.

The bottom equation in (15) asserts that the number of even cardinality subsets of an odd cardinality set U can be found by inclusion-exclusion on the even-subset enumerators of the even subsets of U , appropriately weighted. The weighting factors T_k , defined by Eq. (8), ensure that every

even cardinality subset of U is counted in the inclusion-exclusion (15), exactly once.

The same method applied in general to a_n , defined in Eq. (13) with f_k arbitrary, yields

$$\begin{aligned} a_{2n+1} &= \sum_{k=0}^n \binom{2n+1}{2k} f_k \\ &= \sum_{k=0}^n \binom{2n+1}{2k} f_k \left[\sum_{j=0}^{n-k} (-1)^j \binom{2n+1-2k}{2j+1} T_j \right] \\ &= \sum_{j=0}^n (-1)^j \binom{2n+1}{2n-2j} T_j \left[\sum_{k=0}^{n-j} \binom{2n-2j}{2k} f_k \right] \\ &= \sum_{j=0}^n (-1)^j \binom{2n+1}{2n-2j} T_j a_{2n-2j}, \end{aligned}$$

which is Theorem 1 with $\delta = 1$, and which provides an alternative proof of the theorem.

Interpreting the numbers T_k as weighting factors for an inclusion-exclusion enumeration of even cardinality subsets of an odd cardinality set, Eq. (15), defines the T_k 's combinatorially. This is the way I originally computed their values. Only after I found, by using Sloan [5], that they were the coefficients of $\tan(t)$ did I search for a proof of this fact using generating functions.

VI. EXAMPLES OF SELF-INVERSE SEQUENCES

1. $a_n = k^n$, k constant. $[d = 2k]$. $e^{at} = e^{kt}$.
2. $a_0 = 1$, $a_n = 2^{n-1}k^n$, $n \geq 1$. $[d = 2k]$. The case $k = 1$ is the sequence e_n defined by Eq. (14): $e_n = \sum_k \binom{n}{2k} = 2^{n-1}$. $e^{at} = \frac{1}{2} + e^{2kt}/2 = e^{kt} \cosh(kt)$.
3. $a_n = \binom{n}{2k}$, k an integer. $[d = 2]$. $e^{at} = e^{t^{2k}/(2k)!}$. Replacing $2k$ by $2k + 1$ makes the sequence anti-self-inverse.
4. $a_n = c_{n+1} = \binom{2n+2}{n+1}/(n+2)$, the Catalan numbers [4]. $[d = 4]$. Equation (4) for the Catalan numbers is due to Touchard [6] (cf. also [4, p. 156]), and was my starting point for this work.
5. $a_n = m_n = \sum_k \binom{n}{2k} c_k$, c_k the Catalan numbers (m is for Th. Motzkin). $[d = 2]$. These numbers, as posed in [1], are the number of ways of selecting n points on a circle either singly or in noncrossing pairs.

6. $a_0 = 1, a_n = a_{n-1} + (n-1)a_{n-2}$. [$d = 2$]. $e^{at} = e^{(t+t^2/2)}$. The first terms are 1, 1, 2, 4, 10, 26, 76, 232, 764, ... This sequence enumerates the self-conjugate permutations of $\{1, 2, \dots, n\}$, that is, those permutations in which the number i is in position j if and only if j is in position i [2, p. 6]. It also enumerates the special switchboard problem; i.e., it enumerates the states of a telephone exchange with n subscribers which is provided with means to connect subscribers in pairs only (no conference circuits and no outside lines) [3, p. 85].

7. $a_0 = 1, a_n = 2a_{n-1} + (n-1)a_{n-2}$. [$d = 4$]. $e^{at} = e^{(2t+t^2/2)}$. The first terms are 1, 2, 5, 14, 43, 142, 499, 1850. (Compare this with example 4 above; to wit: 1, 2, 5, 14, 42, 132, 429, 1430.) This sequence enumerates the general switchboard problem; i.e., it enumerates the states of a telephone exchange with n subscribers which is provided with means to connect subscribers singly to outside lines and in pairs internally (no conference circuits). This result is new.

8. $a_0 = 1, a_n = 2a_{n-1} + 2(n-1)a_{n-2}$. [$d = 4$]. [5, Sequence 645] $e^{at} = e^{(2t+t^2)}$.

9. $a_n = H_n(x)$, the Hermite polynomials [3, p. 86]. [$d = 4x$]. $e^{at} = e^{(2xt-t^2)}$.

Equation (4), and hence Theorem 1, applies to each of these examples, yielding two identities for each. Applying them to Example 9, for example, yields

$$H_n(x) = \sum_{k=0}^n (-1)^k \binom{n}{k} H_k(x) 4^{n-k} x^{n-k}$$

and

$$H_{2n+1}(x) = \sum_{k=0}^n (-1)^k \binom{2n+1}{2k+1} T_k 4^{2k+1} x^{2k+1} H_{2n-2k}(x).$$

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